



**M B PARIKH FIN STOCKS LIMITED**

**Regd. Office: 705, Galav Chambers, Sayajigunj, Vadodara – 390 005**

**CIN: L65910GJ1994PLC021759**

**Tel.: (0265) 2362 909 Fax: 2679 0463 Email: mbpfin@vsnl.com**

**ATTENDANCE SLIP**

Sr. No.:

Folio No./DP ID/Client ID No.	
Name and Address of the Member(s) (in Block Letters)	
Joint Holders	
No. of Shares Held	

I/We record my/our presence at the 23rd Annual General Meeting to be held on Saturday, the 8<sup>th</sup> July, 2017 at 12.30 p.m. at the registered office of the Company at 705, Galav Chambers, Sayajigunj, Vadodara – 390 005.

Signature of Shareholder\_\_\_\_\_

Signature of Proxy Holder(s):\_\_\_\_\_

**NOTE:** You are requested to sign and handover this slip at the entrance of the meeting venue.

## Procedure for e-Voting through electronic means

1. For Members receiving e-mail on their registered email ids from NSDL:
  - a. Open the attached PDF file "e-Voting.pdf" giving your Client ID (in case you are holding shares in demat mode) or Folio No. (in case you are holding shares in physical mode) as password, which contains your "User ID" and "Password for e-voting". Please note that the password is an initial password.
  - b. Launch internet browser by typing the URL <https://www.evoting.nsd.com/>
  - c. Click on "Shareholder - Login".
  - d. Put User ID and password as initial password noted in step (1) above and Click Login.
  - e. Password Change Menu appears. Change the password with new password of your choice with minimum 8 digits/characters or combination thereof.
  - f. Home page of "e-Voting" opens. Click on e-Voting: Active Voting Cycles.
  - g. Select "EVEN" of M B PARIKH FINSTOCKS LIMITED.
  - h. Members can cast their vote online from 9.00 am on Wednesday 5<sup>th</sup> July, 2017
  - i. E-Voting shall not be allowed beyond 5.00 pm on Friday 7<sup>th</sup> July, 2017
  - j. Now you are ready for "e-Voting" as "Cast Vote" page opens.
  - k. Cast your vote by selecting appropriate option and click on "Submit" and also "Confirm", when prompted. Kindly note that vote once casted cannot be modified.
  - l. Institutional shareholders (i.e., other than Individuals, HUF, NRI etc.) are also required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/Authority Letter etc. together with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail sanjayrd65@yahoo.com with a copy marked to evoting@nsdl.co.in.
2. For Members whose e-mail address is not registered with the Company/Depository Participants(s) or requesting physical copy:
  - a. Initial password is provided as below/at the bottom of the Attendance Slip for the AGM:

EVEN (e-voting Event Number)	USER ID	PASSWORD/PIN
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  - b. Please follow all steps from Sl. No. 13 (b) to Sl. No. (k) above, to cast vote.
  - c. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Members and remote e-voting user manual for Members available at the downloads section of [www.evoting.nsd.com](http://www.evoting.nsd.com) or call on toll free no.: 1800-222-990.
  - d. If you are already registered with NSDL for remote e-voting then you can use your existing user ID and password/PIN for casting your vote.

- e. You can also update your mobile number and e-mail id in the user profile details of the folio which may be used for sending future communication(s).
  - f. The voting rights of members shall be in proportion to their shares of the paid up equity share capital of the Company as on the cut-off date of 1<sup>st</sup> July, 2017
  - g. Any person, who acquires shares of the Company and become member of the Company after dispatch of the notice and holding shares as of the cut-off date i.e. 1<sup>st</sup> July, 2017, may obtain the login ID and password by sending a request at [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in) or Issuer/RTA.  
However, if you are already registered with NSDL for remote e-voting then you can use your existing user ID and password for casting your vote. If you forgot your password, you can reset your password by using "Forgot User Details/Password" option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com) or contact NSDL at the following toll free no.: 1800-222-990.
  - h. A member may participate in the AGM even after exercising his right to vote through e-voting but shall not be allowed to vote again at the AGM.
  - i. A person, whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on the cut-off date only shall be entitled to avail the facility of remote e-voting as well as voting at the AGM through ballot paper.
1. The voting rights of shareholders shall be in proportion to their shares of the paid up equity share capital of the Company as on the cut-off date (record date) of 1<sup>st</sup> July 2017.
  2. The Company has appointed Mr. Sanjay Dholakia, Practising Company Secretary FCS 2655 and CP 1798 as the Scrutinizer to count the votes casted in favour or against the resolutions proposed from item No. 1 to 3 of the Notice as mentioned hereinabove and to comply with the provisions of Section 108 of the Companies Act, 2013.
  3. The Chairman shall, at the AGM, at the end of discussion on the resolutions on which voting is to be held, allow voting with the assistance of scrutinizer, by use of "Ballot Paper" for all those members who are present at the AGM but have not cast their votes by availing the remote e-voting facility.
  4. The Scrutinizer shall, after the conclusion of voting at the annual general meeting, first count the votes cast at the meeting and thereafter unblock the votes cast through ballot paper in the presence of at least two witnesses, not in the employment of the Company, and shall make, not later than three days of the conclusion of the AGM, a consolidated scrutinizer's report of the total votes cast in favour or against, if any, to the Chairman or a person authorized by him in writing, who shall countersign the same and declare the result of the voting forthwith.
  5. The Results declared, along with the report of the Scrutinizer, shall be placed on the website of the Company i.e. [www.mbpfin.com](http://www.mbpfin.com) and on the website of NSDL immediately after the declaration of result by the Chairman or a person authorized by him in writing. The results shall also be immediately forwarded to the BSE Limited, Mumbai.

6. Map of venue of AGM:



7. A brief resume of Directors proposed to be appointed/re-appointed at this Annual General Meeting pursuant to Regulation 36(3) of the SEBI(Listing Obligation and Disclosure Requirement) Regulations, 2015 (“Listing Regulations, 2015) is as follows:

<b>Name</b>	Monalisa Digant Parikh
<b>Date of Birth</b>	28/08/1973
<b>Qualification</b>	DMLT
<b>Nature of Expertise</b>	Business Women
<b>Experience</b>	5 Years Experience in Share Business
<b>Name of other Public Companies in which holds Directorship</b>	Akansha Consultancy Services Ltd.
<b>Name of other Companies in Committees of which holds Membership/ Chairmanship</b>	As Director Parikh Securities Private Ltd. Parikh Shares and Stocks Pvt. Ltd.
<b>Shareholding in M B Parikh Finstocks Limited</b>	8.27%

BY ORDER OF THE BOARD OF DIRECTORS  
For **M B PARIKH FINSTOCKS LIMITED**

**Mittal Gori**  
**Company Secretary and Compliance Officer**  
Date:1<sup>st</sup> July 2017  
Place : Mumbai  
**Regd Office:**  
705, Galav Chambers, Sayajiganj, Vadodara – 390 005  
CIN: L65910GJ1994PLC021759

## NOTICE

NOTICE is hereby given that the 23<sup>rd</sup> Annual General Meeting of the Shareholders of **M B PARIKH FINSTOCKS LIMITED** (CIN:L65910GJ1994PLC021759) will be held on Saturday 8<sup>th</sup> July 2017 at 12.30 p.m. at the Registered office of the Company at 705, Galav Chambers, Sayajigunj, Vadodara – 390 005 to transact the following business:

### ORDINARY BUSINESS

- 1) To receive, consider, and adopt the Audited Financial Statements as at 31<sup>st</sup> March 2017 and Report of the Directors and the Auditors of the Company.
- 2) To appoint a Director in place of Mrs. Monalisa Digant Parikh (DIN 00294485), who retires by rotation and being eligible offers herself for re-appointment.
- 3) To pass the following resolution as an Ordinary Resolution:

“RESOLVED THAT pursuant to the provisions of Sections 139, 142 and other applicable provisions, if any, of the Companies Act, 2013 (the Act) and The Companies (Audit and Auditors) Rules, 2014, (the Rules), (including any statutory modification(s) or re-enactment(s) thereof for the time being in force) and pursuant to the recommendations of Audit Committee to appoint M/s. A Yadav & Associates., Chartered Accountants, Vadodara having ICAI Firm Registration No. 129725W in place of retiring auditors Messrs B . R. Pancholi & Co. Chartered Accountants, Vadodara having ICAI Firm Registration No.107285W, who did not offered themselves for re-appointment. M/s. A Yadav & Associates have confirmed their eligibility to be appointed as Auditors, in terms of provisions of Section 141 of the Act, and Rule 4 of the Rules, be and are hereby appointed as Statutory Auditors of the Company’s financial year, 2017-2018, to hold office from the conclusion of this Annual General Meeting until the conclusion of the next Annual General Meeting of the Company on such remuneration as may be agreed upon by the Board of Directors and the Auditors, in addition to service tax and re-imburement of out of pocket expenses incurred by them in connection with the audit of Accounts of the Company.”

BY ORDER OF THE BOARD OF DIRECTORS  
For **M B PARIKH FINSTOCKS LIMITED**

**Mittal Gori**  
**Company Secretary and Compliance Officer**

Date: 29<sup>th</sup> April 2017

Place : Mumbai

**Registered Office:**

at 705, Galav Chambers, Sayajigunj,

Vadodara – 390 005

CIN: L65910GJ1994PLC021759

## NOTES:

1. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE PROXY TO BE EFFECTIVE SHOULD BE LODGED AT THE REGISTERED OFFICE OF THE COMPANY NOT LATER THAN 48 HOURS BEFORE THE COMMENCEMENT OF THE MEETING.

A person can act as proxy on behalf of members not exceeding fifty (50) and holding in the aggregate not more than ten percent of the total share capital of the Company. A member holding more than ten percent of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person or shareholder.

2. The Register of member and the Share Transfer Books of the Company will remain closed from 01<sup>st</sup> July 2017 to 08<sup>th</sup> July 2017 (both days inclusive).
3. Details under Regulation 36(3) of the SEBI(Listing Obligation and Disclosure Requirement) Regulations, 2015 ("Listing Regulations, 2015) with the Stock Exchange in respect of the Directors seeking appointment/re-appointment at the Annual General Meeting, forms integral part of the notice. The Directors have furnished the requisite declarations for their appointment / re-appointment.
4. Electronic copy of the 23<sup>rd</sup> Annual Report 2016-17, inter alia, indicating the process and manner of e-voting along with Attendance Slip and Proxy Form is being sent to all the members whose email IDs are registered with the Company/ Depository Participant(s) for communication purposes unless any member has requested for a hard copy of the same. For members who have not registered their email address, physical copies of the Notice of the 23<sup>rd</sup> Annual Report 2016-17, inter alia, indicating the process and manner of e-voting along with Attendance Slip and Proxy Form is being sent in the physical mode.
5. Members are requested to :-
  - i. Write to the Company at least 7 days before the date of the meeting, in case they desire any information as regards the Audited Accounts for the financial year ended 31<sup>st</sup> March 2017, so as to enable the Company to keep the information ready.
  - ii. Bring their copy of the Annual Report, Attendance slip and their photo identity proof at the Annual General Meeting.
  - iii. Intimate to the Registrar & Transfer Agent (R&TA) of the Company immediately, about any change in their address, where the shares are held in electronic form, such change is to be informed to the Depository Participant (DP) and not to the Company/ R&TA.

- iv. Quote Registered Folio no. or DP ID/Client ID no. in all their correspondence.
  - v. Approach the R&TA of the Company for consolidation of folios.
  - vi. Avail of Nomination facility by filing in and forwarding the nomination form to the R&TA, if not already done.
  - vii. Send all share transfer lodgments (physical mode)/ correspondence to the R&TA of the Company, Link Intime India Pvt. Ltd., C 101,247 Park, L. B. S. Marg, Vikhroli (W), Mumbai -400 083 upto the date of book closure.
6. Corporate Members are requested to forward a certified copy of the Board Resolution authorizing their representatives to attend and vote at the Annual General Meeting.
  7. Map of the venue of the AGM is given at the end of the Annual Report.
  8. The Company has listed its shares on the BSE Limited. The listing fees till date have been paid.
  9. All the documents referred to in the Notice are open for inspection at the Registered Office of the Company between 11:00 a.m. to 3:00 p.m. on all working days except Saturdays, Sundays and Public Holidays until the date of the Annual General Meeting or any adjournment(s) thereof.
  10. The Company is supporting "Green Initiative in Corporate Governance", a step taken by the Ministry of Corporate Affairs wherein the service of various documents including Notice, Directors' Report, Annual Accounts and various correspondences by a Company can be made through electronic mode which shall also be in compliance with the provisions of Section 20 of the Companies Act, 2013.

Supporting this initiative the Company sends its Annual Report to the members whose email ids are available in electronic form. To support this initiative in full measure, Members who have not registered their email address with the Depository through their concerned Depository Participants (DPs) are requested to register the same with their DPs. Members who hold shares in physical form are requested to register their email address with M/s. Link Intime India Pvt. Ltd. C 101,247 Park, L. B. S. Marg, Vikhroli (W), Mumbai -400 083.

In case you desire to receive the documents mentioned above in physical form or register or change your email address, you are requested to send an e-mail to mbpfin@vsnl.com.

11. In terms of section 108 of the Companies Act, 2013, and Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended by the Companies (Management and Administration) Amendment Rules, 2015 and Regulation 44 of the Listing Regulations, the Company has made arrangement to its members to exercise their right to vote at Annual General Meeting by electronic means.
12. The members shall note that the facility for voting shall also be provided at the meeting through poll paper and the members attending the meeting who have not cast their vote by remote e-voting shall be able to exercise their

voting rights at the meeting. If the members have already cast their vote by remote e-voting prior to the meeting they may attend the meeting but shall not be entitled to cast their vote again and his vote, if any, cast at the meeting shall be treated as invalid.

13. The voting period begins on Wednesday, 5<sup>th</sup> July 2017 at 9:00 a.m. and ends on Friday, 7<sup>th</sup> July 2017 at 5:00 p.m. During this period shareholders of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date 1<sup>st</sup> July 2017 may cast their vote electronically. The e-voting module shall be disable by NSDL for voting thereafter.

**Form No. MGT-11****Proxy form**

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN	<b>L65910GJ1994PLC021759</b>		
Name of the company	M B PARIKH FINSTOCKS LIMITED		
Registered office	705, Galav Chambers, Sayajigunj, Vadodara – 390 005		
Name of the member (s)			
Registered address			
E-mail Id			
Folio No/ Client Id		DP ID	

I/We, being the member (s) of ..... shares of the above named company, hereby appoint

Name			
Address			
E-mail Id		Signature	

OR FAILING HIM

Name			
Address			
E-mail Id		Signature	

OR FAILING HIM

Name			
Address			
E-mail Id		Signature	

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 23<sup>rd</sup> Annual General Meeting to be held on 8<sup>th</sup> July, 2017 at 705, Galav Chambers, Sayajigunj, Vadodara – 390 005 at 12.30 p.m. and at any adjournment thereof in respect of such resolutions as are indicated below:

Resolution No.: RESOLUTIONS	For	Against
1. Adoption of Financial Statements for the year ended 31 <sup>st</sup> March 2017		
2. Re-appointment of Mrs. Monalisa Digant Parikh (DIN 00294485), who retires by rotation.		
3. Appointment of M/s. A Yadav & Associates, Chartered Accountants as Auditors in place of M/s. B . R. Pancholi & Co., & fixing their remuneration.		

Signed this..... day of..... 2017

Signature of Shareholder: ..... /  
Signature of Proxy holder(s): .....

Affix  
Revenue  
Stamp

**Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.**

**ELECTRONIC VOTING PARTICULARS**

Electronic Voting Sequence Number (EVSN)	User ID	Password